Capital and Risk Transformation (“CART”)

Deliverable Completion

Cover Sheet

| **Workstream** | **Sub-Workstream** | **Deliverable ID** | **Name** |
| --- | --- | --- | --- |
| Risk Transformation | Risk Monitoring | RT.E.1 | **Assess current reporting landscape and make quick-win enhancements to priority reports (Board and Level 1 Risk Committees) and reporting protocols** |

| **Supporting Materials** | | |
| --- | --- | --- |
| **Document Name** | **Document Description** | **Document Link** |
| 20150825 SHUSA Risk Reporting - Target Reporting Landscape v27 |  | [Link](file://provisioning.sov.gs.corp/DavWWWRoot/sites/CART/RT/Deliverables/Risk%20Monitoring%20and%20Reporting/RT.E.1/20150825%20SHUSA%20Risk%20Reporting%20-%20Target%20Reporting%20Landscape%20v27.pdf) |
| 20150728 SHUSA Risk Reporting – Update for Brian Gunn v11.pdf | Artifact evidences quick-win enhancements to CRO report on slide 3. | [Link](file://provisioning.sov.gs.corp/DavWWWRoot/sites/CART/RT/Deliverables/Risk%20Monitoring%20and%20Reporting/RT.E.1/RT.E.1%20-%2020150728%20SHUSA%20Risk%20Reporting%20-%20Update%20for%20Brian%20Gunn%20v11.pdf) |
| Executive Sign-Off |  | [Link](http://provisioning.sov.gs.corp/sites/CART/RT/Deliverables/RAS/RT.A.1/Matt%20Burns_Accountable%20Executive%20Signoff_%20CART%20Risk%20Transformation.pdf) |
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| **First Line of Defense** | | |
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| **Accountable Executive** | **Approval Date** | **Notes** |
| Matt Burns | 26-Jan-16 | Accountable Executive Sign-off acknowledges the completion of the deliverable based on work efforts of his predecessor. |

| **Second Line of Defense (CART Program Management Office)** | | |
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| **Applicable?** | **Approval Date** | **Notes** |
| Is the Second Line of Defense applicable? | Click here to enter the Approval Date. | Click here to enter notes. |

| **Internal Audit** |  |  |
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| **Applicable?** | **Approval Date** | **Notes** |
| Is Internal Audit applicable? | Click here to enter the Approval Date. | Click here to enter notes. |